

# Molecular Basis for Broad Neuraminidase Immunity: Conserved Epitopes in Seasonal and Pandemic H1N1 as Well as H5N1 Influenza Viruses

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**Influenza A viruses, including H1N1 and H5N1 subtypes, pose a serious threat to public health. Neuraminidase (NA)-related immunity contributes to protection against influenza virus infection. Antibodies to the N1 subtype provide protection against homologous and heterologous H1N1 as well as H5N1 virus challenge. Since neither the strain-specific nor conserved epitopes of N1 have been identified, we generated a panel of mouse monoclonal antibodies (MAbs) that exhibit different reactivity spectra with H1N1 and H5N1 viruses and used these MAbs to map N1 antigenic domains. We identified 12 amino acids essential for MAb binding to the NA of a recent seasonal H1N1 virus, A/Brisbane/59/2007. Of these, residues 248, 249, 250, 341, and 343 are recognized by strain-specific group A MAbs, while residues 273, 338, and 339 are within conserved epitope(s), which allows cross-reactive group B MAbs to bind the NAs of seasonal H1N1 and the 1918 and 2009 pandemic (09pdm) H1N1 as well as H5N1 viruses. A single dose of group B MAbs administered prophylactically fully protected mice against lethal challenge with seasonal and 09pdm H1N1 viruses and resulted in significant protection against the highly pathogenic wild-type H5N1 virus. Another three N1 residues (at positions 396, 397, and 456) are essential for binding of cross-reactive group E MAbs, which differ from group B MAbs in that they do not bind 09pdm H1N1 viruses. The identification of conserved N1 epitopes reveals the molecular basis for NA-mediated immunity between H1N1 and H5N1 viruses and demonstrates the potential for developing broadly protective NA-specific antibody treatments for influenza.**

Neuraminidase (NA) is one of the two major glycoproteins on the surface of influenza virus. The primary biological role of NA is to cleave terminal sialic acid residues that serve as receptors for the hemagglutinin (HA), promoting the release of progeny virions from host cells (1). This enzymatic activity contributes to the transmission of influenza virus (2) and facilitates influenza virus infection by removing decoy receptors on mucins, cilia, and the cellular glycocalyx (3). Inhibition of NA enzyme activity by either drugs or NA-specific antibodies limits the spread of influenza virus, thus reducing viral load and disease symptoms.

Influenza A viruses are differentiated by HA and NA subtypes. Seventeen influenza HA subtypes (H1 to H17) and 10 NA subtypes (N1 to N10) have been identified (4), but only H1N1, H2N2, and H3N2 viruses have caused pandemics and subsequent seasonal epidemics in humans. The NA of the 1918 pandemic (18pdm) H1N1 virus enhances virus replication in mouse lungs and human airway cells (5) and therefore may have contributed to the extraordinary number of deaths during this pandemic. NA plays a role in the transmissibility of the 2009 pandemic (09pdm) H1N1 (2, 6) and host adaptation of H5N1 virus (7), highlighting its importance in the emergence of pandemic viruses.

Although antibodies against NA do not prevent attachment and entry of influenza virus into cells, they sharply limit virus spread (8) and thereby contribute to immunity against influenza virus (9, 10). A mouse monoclonal antibody (MAb) specific for H5N1 viral NA has therapeutic benefit against H5N1 infection in mice and ferrets (11). Studies in mice demonstrate that while an-

tibodies specific for NA of the N2 subtype provide the greatest protection to the homologous H3N2 virus, they also provide substantial immunity against heterologous equine influenza viruses that share the same subtype (12, 13). Similar broad reactivity has been demonstrated for N1-specific antibodies. Polyclonal antiserum with specificity for the NA of 09pdm H1N1 virus has measurable inhibition of H5N1 NA activity (14). Moreover, heterologous protection has been attributed to NA antibodies in several studies. The NA of the seasonal H1N1 virus induces cross-reactive antibodies that reduce the lethality of 09pdm H1N1 virus (15), and immunization with a DNA vaccine expressing seasonal H1N1 NA (16) or virus-like particles containing 09pdm H1N1 NA (17) provides significant protection against lethal H5N1 challenge in mice. Similar NA-associated protection against H5N1 has been observed in ferrets immunized with recombinant 18pdm H1N1 NA or seasonal trivalent inactivated vaccine (18).

Despite the significant role of N1 in the pathogenesis and immunity of H1N1 and H5N1 viruses, there is surprisingly little

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TABLE 1 Wild-type influenza A viruses used in the present study

Virus name (abbreviation) <sup>a</sup>	Subtype
A/Texas/36/1991 (TX/91)	H1N1
A/New Caledonia/20/1999 (NC/99)	H1N1
A/Solomon Islands/3/2006 (SI/06)	H1N1
<b>A/Brisbane/59/2007 (BR/07)</b>	H1N1
<b>A/Chongqing/150/2007</b>	H1N1
<b>A/Guangdong/51/2008</b>	H1N1
A/Bethesda/NIH50/2009 (NIH/09)	H1N1
A/California/07/2009 (CA/09)	H1N1
<b>A/duck/Eastern China/103/03 (Y103)</b>	H1N1
<b>A/duck/Eastern China/233/03 (Y233)</b>	H3N1
<b>A/Vietnam/1203/2004 (VN/04)</b>	H5N1
<b>A/duck/Eastern China/1/2008</b>	H6N1
<b>A/turkey/Ontario/6188/68</b>	H8N4
<b>A/duck/Eastern China/01/05</b>	H8N4
<b>A/duck/eastern China/008/2008</b>	H5N5
<b>A/duck/eastern China/031/2009</b>	H5N5
<b>A/shearwater/Australia/1/72</b>	H6N5
<b>A/duck/Yangzhou/013/2008</b>	H6N5
<b>A/duck/Ukraine/1/63</b>	H3N8
<b>A/duck/Eastern China/18/05</b>	H3N8
<b>A/duck/Jiangsu/K1203/2010</b>	H5N8
<b>A/duck/Eastern China/163/02</b>	H6N8
<b>A/duck/Eastern China/36/02</b>	H3N2
<b>A/chicken/Shanghai/14/2001</b>	H9N2
<b>A/duck/China/397/03</b>	H10N3
<b>A/duck/China/376/04</b>	H4N6
<b>A/chicken/Germany/n/49</b>	H10N7
<b>A/duck/Memphis/546/74</b>	H11N9

<sup>a</sup> Viruses in bold were tested in IFAs.

information regarding its antigenic domains. Antibodies against two conserved NA peptides consisting of residues 222 to 230 (N2 numbering) and the 12 residues at the NA terminus, have been generated and explored for NA detection and quantification (19). In addition, antigenic epitopes of NA subtypes N2 and N9 have been identified (20–26). However, these do not provide sufficient information for understanding N1 antigenic determinants. To address this and, in particular, to identify conserved epitopes corresponding to N1-related heterologous immunity, we mapped antigenic domains of the NA of a recent seasonal H1N1 virus, A/Brisbane/59/2007 (BR/07), using a panel of N1-specific MAbs and tested the ability of cross-reactive antibodies to protect mice against homologous and heterologous H1N1 and H5N1 virus challenge.

## MATERIALS AND METHODS

**Viruses and plasmids.** Reassortant H6N1 viruses, H6N1<sub>BR/07</sub>, H6N1<sub>CA/09</sub>, and H6N1<sub>VN/04</sub>, which contain the HA gene of H6N2 virus A/turkey/Massachusetts/3740/1965 and the NA gene of seasonal H1N1 BR/07, 09pdm H1N1 A/California/07/2009 (CA/09), or H5N1 virus A/Vietnam/1203/2004 (VN/04), were rescued using reverse genetics (27). Reassortant viruses, wild-type (wt) viruses (Table 1), and an attenuated VN/04 virus ( $\Delta$ VN/04, a reassortant virus containing the HA [polybasic residues in the cleavage motif deleted] and NA genes of VN/04 and other genes of A/Puerto Rico/8/1934) were grown in 10-day-old embryonated chicken eggs. Viruses were inactivated with  $\beta$ -propiolactone (Sigma-Aldrich, St. Louis, MO) and purified by sucrose gradient centrifugation if necessary. The pCAGGS-NIH/09 and pCAGGS-BM/18 plasmids, which contain the NA genes of seasonal H1N1 A/Bethesda/NIH50/2009 (NIH/09) and

18pdm H1N1 A/Brevig Mission/1/1918 (BM/18) viruses, respectively, were constructed as reported previously (17).

**Immunization of mice and fusion and screening of hybridomas.** All animal experiments were performed under protocols approved by the CBER Animal Care and Use Committee. BALB/c mice (6 weeks old; The Jackson Laboratory) were infected intranasally with BR/07 virus twice at an interval of 4 weeks and boosted intravenously with inactivated, purified BR/07 on day 3 before the fusion. Splenocytes from immunized mice were fused with Sp2/0 cells. Hybridomas were initially screened with enzyme-linked immunosorbent assays (ELISAs) using HA-mismatched H6N1<sub>BR/07</sub> virus-coated plates. Positive clones were further screened in cell-based ELISAs with NA expressed on human embryonic kidney 293 (HEK293) cells that were transfected with pCAGGS-NIH/09 plasmid. Hybridomas secreting NA-specific antibodies were subcloned twice by limiting dilution. Ascitic fluid was generated for each hybridoma in BALB/c mice (Antibody and Immunoassay Consultants, Rockville, MD), and representative MAbs were purified using protein G columns (GE Healthcare, Uppsala, Sweden).

**IFAs.** An immunofluorescence assay (IFA) was performed as described previously (28). Madin-Darby canine kidney (MDCK) cells growing in 96-well plates were infected with each virus at a multiplicity of infection of 1, followed by incubation at 35°C in 5% CO<sub>2</sub> for 48 h. Cells were fixed with acetone and ethanol (3:2, vol/vol) and incubated with each MAb, followed by incubation with fluorescein isothiocyanate (FITC)-conjugated anti-mouse IgG (Sigma-Aldrich, St. Louis, MO). Cells were then examined under a Leica fluorescence microscope (DMI3000B).

**Site-directed mutagenesis.** Nucleotide changes corresponding to a panel of 19 single mutations (K150A, D199N, N200A, K221N, N248D, K249E, W296A, D311E, N336G, D341N, N365I, R366S, K369A, G370K, D398E, G401K, S403K, L430R, and T435R) were introduced into the NIH/09 virus NA gene in the pCAGGS-NIH/09 plasmid with a QuikChange Multi Site-Directed Mutagenesis Kit (Stratagene, La Jolla, CA). The mutations were designed based on alignment of H1N1 and H5N1 NA sequences, the MAb reactivity pattern, and previously mapped epitopes on N2 and N9 (21–24). Mutant plasmids were sequenced to verify the presence of introduced mutations and the absence of additional, unwanted mutations.

**Cell-based ELISA.** NA was expressed on HEK293 cells by transfection with wt or mutant plasmids using Lipofectamine 2000 reagent (Invitrogen, Grand Island, NY). Expression of NA containing the single mutation D199N, W296A, G370K, G401K, or S403K was poor, as confirmed with hyperimmune mouse serum against BR/07 virus (with a hemagglutination inhibition titer of  $\geq 640$ ), and therefore only the remaining 14 mutant NAs were examined in the subsequent cell-based ELISAs. The transfected cells were fixed with glutaraldehyde and blocked with 3% bovine serum albumin (BSA) in phosphate-buffered saline (PBS). Cells were then incubated with cell culture supernatant of hybridomas or purified MAbs, followed by incubation with horseradish peroxidase (HRP)-conjugated goat anti-mouse IgG (Sigma-Aldrich). The signal was developed using *o*-phenylenediamine dihydrochloride (OPD) as the substrate. The reaction was stopped with sulfuric acid, and the optical density at 490 nm (OD<sub>490</sub>) was read. Hyperimmune mouse serum was used as a positive control. In assays to identify epitopes, the signals generated by MAb binding to mutant NAs were normalized to the signal generated by the positive control and therefore expressed as relative binding. A decrease of >20% in relative binding compared to wt NA was arbitrarily considered significant for the purpose of epitope identification.

**ELLA.** The inhibition of NA enzyme activity by MAbs was measured with an enzyme-linked lectin assay (ELLA) in 96-well plate format as described previously (29). Briefly, serial dilutions of MAbs were mixed with a predetermined amount of virus diluted with 1% BSA in PBS containing Tween 20 (PBST). The mixture was transferred to 96-well plates coated with fetuin (Sigma-Aldrich) and incubated overnight at 37°C. Plates were washed with PBST, followed by the addition of peanut agglutinin conjugated to HRP (Sigma-Aldrich). Plates were incubated at room

TABLE 2 Reactivities of N1 MAbs with the NA of H1N1 and H5N1 viruses

MAb group	MAb	Isotype	Reactivity with N1 of the indicated virus <sup>a</sup>							
			BR/07	NIH/09	TX/91	NC/99	SI/06	BM/18	CA/09	VN/04
A	1C4	IgG <sub>2b</sub>	+	+	–	–	–	–	–	–
	2D12	IgG1	+	+	–	–	–	–	–	–
	3A2	IgG <sub>2a</sub>	+	+	–	–	–	–	–	–
	4D6	IgG <sub>2b</sub>	+	+	–	–	–	–	–	–
	4G2	IgG1	+	+	–	–	–	–	–	–
B	1H5	IgG <sub>2b</sub>	+	+	+	+	+	+	+	+
	2D9	IgG <sub>2b</sub>	+	+	+	+	+	+	+	+
	2G6	IgG <sub>2b</sub>	+	+	+	+	+	+	+	+
	3H10	IgG <sub>2b</sub>	+	+	+	+	+	+	+	+
	4E9	IgG <sub>2b</sub>	+	+	+	+	+	+	+	+
C	1C7	IgG <sub>2a</sub>	+	+	–	+	±	–	–	–
	2C3	IgG <sub>2b</sub>	+	+	±	+	+	–	–	–
	2F4	IgG <sub>2a</sub>	+	+	±	+	+	–	–	–
	3C2	IgG1	+	+	+	+	+	–	–	–
	3G1	IgG3	+	±	±	±	±	–	–	–
D	2B4	IgG <sub>2a</sub>	+	+	±	+	+	+	–	–
	2B5	IgG <sub>2a</sub>	+	+	+	+	+	±	–	–
	3C6	IgG <sub>2a</sub>	+	+	+	+	+	+	–	–
	3H4	IgG <sub>2b</sub>	+	+	±	+	+	+	–	–
E	1C9	IgG <sub>2a</sub>	+	+	+	+	+	+	–	±
	1H8	IgG <sub>2b</sub>	+	+	+	+	+	+	–	±
	2D4	IgG <sub>2b</sub>	+	+	+	+	+	+	–	+
	3H3	IgG <sub>2b</sub>	+	+	+	+	+	+	–	+
	4B12	IgG <sub>2b</sub>	+	+	+	+	+	+	–	+
F	4C4	IgG <sub>2a</sub>	+	+	–	+	+	+	+	–

<sup>a</sup> Values were determined in ELISAs with H1N1 viruses A/Brisbane/59/2007 (BR/07), A/Texas/36/1991 (TX/91), A/New Caledonia/20/1999 (NC/99), A/Solomon Islands/3/2006 (SI/06), and A/California/07/2009 (CA/09)-X179A and the NA of A/Bethesda/NIH50/2009 (NIH/09), A/Brevig Mission/1/1918 (BM/18), and A/Vietnam/1203/2004 (VN/04) expressed on HEK293 cells. +, OD<sub>490</sub> of >0.3; –, OD<sub>490</sub> of <0.15; ±, OD<sub>490</sub> value between 0.15 and 0.30.

temperature for 2 h in the dark and then washed with PBST before the addition of OPD substrate. The reaction was stopped, and OD<sub>490</sub> values were read. The median inhibition concentration (IC<sub>50</sub>) was calculated as the inverse dilution of antibody that resulted in 50% inhibition of NA activity.

**Plaque size reduction assay.** Confluent MDCK cells in six-well plates were inoculated with virus dilutions. After 15 min at 4°C, cells were incubated at 37°C for 45 min and then overlaid with agar supplemented with MAbs. Cells inoculated with virus and overlaid with agar without MAbs were set up as controls. On day 3 after virus inoculation, cells were stained with crystal violet solution to visualize plaques.

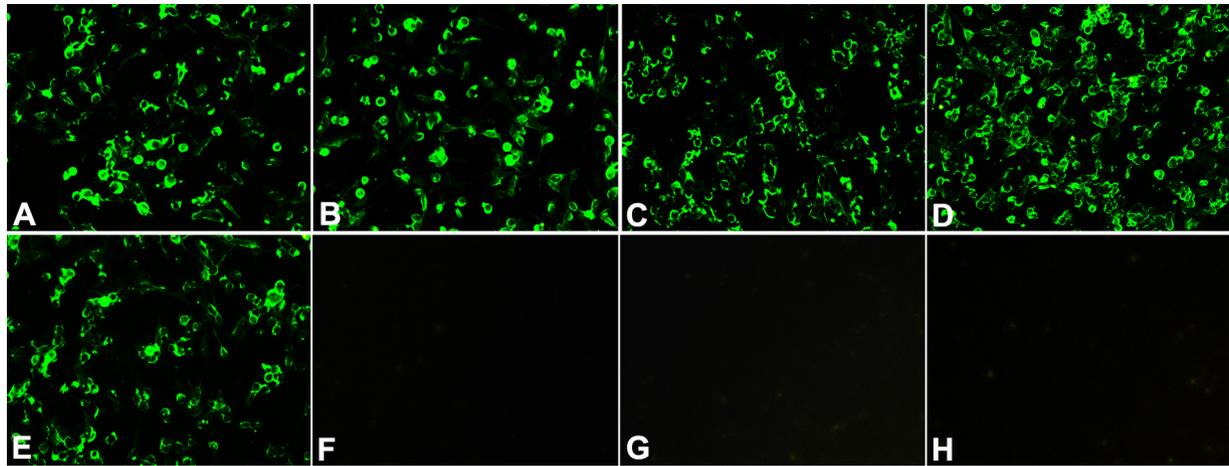
**Selection of MAb escape mutant viruses and identification of NA mutations.** MAb escape variants of BR/07 were selected as previously reported (30). Briefly, ascitic fluid containing MAbs was mixed with 10<sup>6</sup> PFU of cloned, wt BR/07 virus. After incubation at room temperature, the mixture was inoculated into eggs. Successful virus growth was confirmed by a hemagglutination assay, and the potential escape variants were further cloned in a plaque assay in the presence of MAb. Three plaques with sizes larger than those formed by the parental wt virus were picked for each variant and propagated in eggs. Allantoic fluid was collected, and the NA gene of each variant was amplified by reverse transcription-PCR (RT-PCR) (31). PCR products were sequenced at the core facility, Center for Biologics Evaluation and Research (CBER), FDA. Sequences were analyzed to identify nucleotide and amino acid changes.

**Mouse challenge experiments.** The cross-reactive group B MAbs 1H5 and 3H10 were administered intraperitoneally at 15 mg/kg of body weight to groups of nine DBA/2 mice (7 weeks old; The Jackson Laboratory) 12 h before intranasal challenge with 10 median mouse lethal doses (MLD<sub>50</sub>)

of seasonal H1N1 BR/07, 09pdm H1N1 CA/09-X179A, or attenuated H5N1 ΔVN/04 virus. On day 3 postchallenge (p.c.), four mice from each group were euthanized, and the lungs were collected for virus titration in MDCK cells. Body weights and mortality of the remaining mice were monitored for up to 14 days. Mice that lost more than 25% of body weight were euthanized. To examine the prophylactic efficacy of the same set of MAbs against challenge with wild-type (wt), highly pathogenic H5N1 virus, MAbs were administered at the same dose (15 mg/kg) to groups of 15 BALB/c mice (7 weeks old; The Jackson Laboratory) before challenge with 1 MLD<sub>50</sub> or 20 MLD<sub>50</sub> of wt VN/04 virus. Animal monitoring (*n* = 10) and virus titrations (*n* = 5) were performed as for H1N1 viruses. Mice that received the strain-specific group A MAb 3A2 and PBS served as controls for both H1N1 and H5N1 challenges. The wt H5N1 virus challenge was conducted in enhanced biosafety level 3 (BSL3+/ABSL3+) facilities under a protocol approved by the University of Maryland Animal Care and Use Committee.

## RESULTS

**Breadth of reactivity of N1-specific MAbs.** To identify antigenic domains of N1 and, in particular, to identify N1 epitopes that are conserved in H1N1 and H5N1 viruses, we generated mouse hybridomas secreting MAbs against the NA of BR/07 (H1N1), a component of the 2008–2009 and 2009–2010 seasonal influenza vaccines. Twenty-five N1-specific MAbs were selected for further characterization. These MAbs were placed into six different groups (A to F) based on their reactivity with the NAs of H1N1 and H5N1 viruses in ELISAs (Table 2).



**FIG 1** Reactivity of cross-reactive group B MABs to N1 and other NA subtypes in IFAs. MDCK cells were infected with BR/07 (human H1N1) (A), CA/09 (human H1N1) (B), A/Chongqing/150/2007 (human H1N1) (C), A/Guangdong/51/2008 (human H1N1) (D), A/duck/Eastern China/1/2008 (avian H6N1) (E), A/duck/Eastern China/103/03 (Y103, avian H1N1) (F), A/duck/Eastern China/233/03 (Y233, avian H3N1) (G), A/turkey/Ontario/6188/68 (avian H8N4) (F), and other viruses as listed in [Table 1](#). IFAs were performed with all group B MABs, and the same reactivity patterns were observed. Shown are images generated with group B MAB 1H5. The remaining viruses tested (listed in [Table 1](#)) were negative for staining with group B MABs, and therefore images are not shown.

Group A MABs recognized only the NAs of BR/07 and NIH/09. The NA of NIH/09 shares 99% identity with that of BR/07 at both the nucleotide and amino acid levels, indicating that these MABs are strain specific. In contrast, MABs in groups B to F displayed various patterns of cross-reactivity. Specifically, group B MABs were highly cross-reactive, binding to the NAs of all the tested seasonal H1N1 viruses, 18pdm H1N1 virus BM/18, and 09pdm H1N1 virus CA/09 as well as an H5N1 virus VN/04. Group E MABs differed from those in group B in that they did not bind the NA of 09pdm H1N1, while the single group F MAB 4C4 was positive for the NAs of all tested viruses except those of H5N1 and H1N1 TX/91. MABs in groups C and D exhibited narrower reactivity spectra than those in groups B, E, and F.

To determine whether the broad reactivity of group B MABs extends to other NA subtypes (N2 to N9), an IFA was performed in MDCK cells infected with a broad spectrum of viruses ([Table 1](#)). All five of the MABs in this group were tested. The IFA results revealed that group B MABs recognized only N1, with reactivity to Eurasian human H1N1 and avian H6N1 viruses but not other NA subtypes ([Fig. 1](#)). Notably, group B MABs did not react with two avian N1 viruses tested, A/Duck/Eastern China/103/03 (Y103, H1N1) and A/Duck/Eastern China/233/03 (Y233, H3N1). This is probably due to an amino acid mutation at position 338 in the NA, a position that we identified as crucial for epitope recognition by group B MABs (see later results and discussion). We subsequently focused on characterizing group B MABs since these MABs had the broadest cross-reactivity with H1N1 and H5N1 virus NAs; other groups of MABs, particularly those from group A, were included in relevant assays for comparison.

**Functional attributes of group B MABs.** MABs were tested for inhibition of NA activity by ELLA ([29](#)), which utilizes the large molecule fetuin as the substrate ([Table 3](#)). Group B MABs inhibited BR/07 NA activity efficiently, with  $IC_{50}$ s of 23.6 to 68.1 ng/ml, and moderately inhibited the activity of VN/04 NA ( $IC_{50}$ : 1.41 to 2.42  $\mu$ g/ml). The strain-specific group A MABs inhibited only the

homologous BR/07 NA, with  $IC_{50}$ s of 10.4 and 7.7 ng/ml for MABs 3A2 and 4G2, respectively. Surprisingly, despite reactivity in ELISA, none of the group B MABs inhibited CA/09 NA even at 32  $\mu$ g/ml, the highest concentration tested.

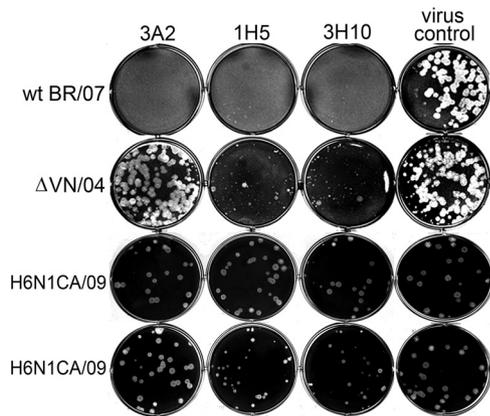
**TABLE 3** Inhibition of enzyme activity by N1-specific MABs

MAB group	MAB	$IC_{50}$ for the indicated virus (ng/ml) <sup>a</sup>		
		BR/07	CA/09	VN/04
A	3A2	10.4	>	>
	4G2	7.7	>	>
B	1H5	25.9	>	1,670
	2D9	68.1	>	2,160
	2G6	31.2	>	1,410
	3H10	23.6	>	1,250
C	4E9	27.0	>	2,420
	1C7	85.7	>	>
D	3C2	33.2	>	>
	2B5	28.2	>	>
E	3H4	63.4	>	>
	1H8	14.7	>	>
	4B12	50.9	>	8,599
F	2D4	196	>	>
	4C4	1,184	>	>
	CD6 <sup>b</sup>	>	44.0	>
Negative control <sup>c</sup>		>	>	>

<sup>a</sup>  $IC_{50}$ , median inhibition concentration measured by ELLA of the reassortant H6N1 viruses containing the NA of each indicated virus (see Materials and Methods). >, greater than 32,000 ng/ml.

<sup>b</sup> CD6 is a MAB raised against 09pdm H1N1 NA in our laboratory using a protocol similar to that for generating BR/07 NA MABs.

<sup>c</sup> Negative control, mouse MAB against influenza A virus nucleoprotein (ViroStat, Portland, ME).

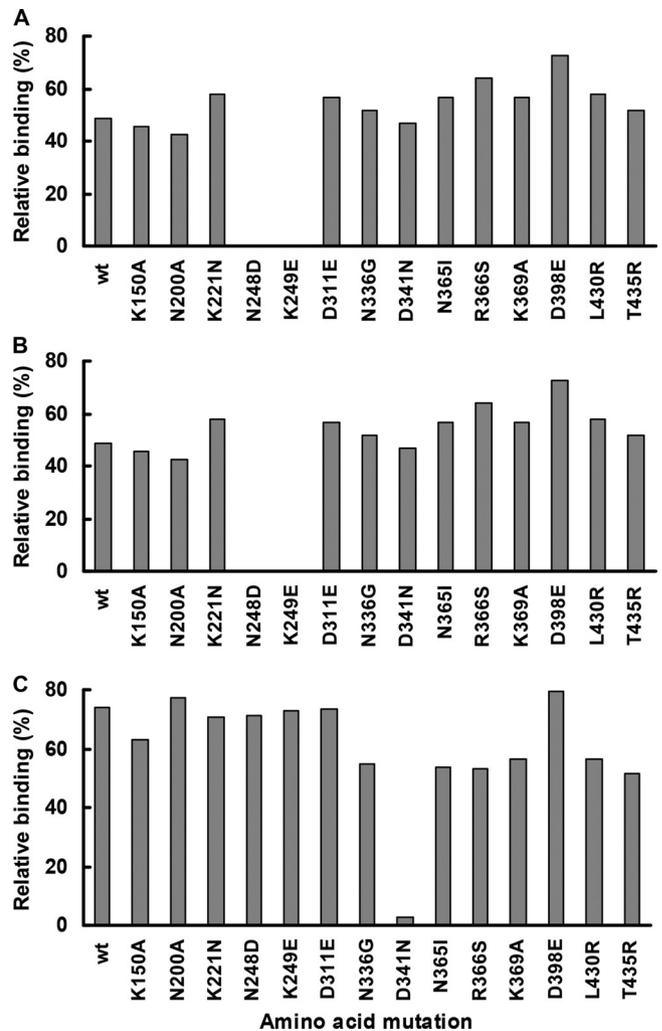


**FIG 2** Reduction of plaque size by cross-reactive group B MAbs. MDCK cells growing in six-well plates were inoculated with cloned, wt BR/07, attenuated VN/04 ( $\Delta$ VN/04), or reassortant H6N1<sub>CA/09</sub> virus and overlaid with agar supplemented with 1  $\mu$ g/ml (the top three rows) or 10  $\mu$ g/ml (the bottom row) of MAbs. Shown are images of plaques formed by these viruses in the presence of strain-specific group A MAb 3A2 and cross-reactive group B MAbs 1H5 and 3H10. The virus control shows plaques formed in the absence of MAb.

A similar pattern of inhibition was evident in plaque size reduction assays using virus-infected MDCK cells (Fig. 2); group B MAbs significantly reduced the size of the homologous BR/07 as well as attenuated H5N1 VN/04 virus ( $\Delta$ VN/04) plaques when applied at a concentration of 1  $\mu$ g/ml in the overlaying agar. Group A MAbs reduced the size of only homologous BR/07 plaques. Consistent with the results obtained in ELLAs, none of the group B MAbs reduced the size of plaques formed by H6N1<sub>CA/09</sub> virus (H6N1<sub>CA/09</sub> virus was used as CA/09 does not form clear plaques in MDCK cells) at 1  $\mu$ g/ml. However, at a higher concentration of 10  $\mu$ g/ml, group B MAbs resulted in smaller plaques (Fig. 2, bottom row), with plaques formed in the presence of MAbs 1H5 and 3H10 having an average diameter of 0.97 and 1.18 mm, respectively, nearly half that of plaques formed in the presence of group A MAb 3A2 or in the absence of MAb (1.94 and 2.03 mm, respectively).

**Identification of N1 residues crucial for MAb binding.** To map the epitope(s) recognized by N1-specific MAbs, mutant N1s bearing each of 14 single amino acid mutations were expressed in HEK293 cells, and MAb binding to each mutant NA was tested in a cell-based ELISA. None of the tested mutations showed a profound effect on binding of group B MAbs (data not shown). However, an N248D or K249E mutation abolished the binding of group A MAbs 3A2 and 4G2 (Fig. 3). In addition, a D341N mutation significantly affected the binding of 3A2 (>35% decrease in signal compared to wt N1) and abolished the binding of group C MAb 1C7.

To expand our search for key amino acids within group B epitope(s), we selected MAb escape variants of BR/07 in eggs. Variants selected with group B MAbs 1H5, 2D9, 2G6, and 3H10 all contained a single mutation in NA at position 339, changing from the parental T to A, I, N, or P (Table 4). This was not the only change selected by this MAb group. The variant selected by MAb 4E9 and a 3H10 variant had a V338M substitution in NA, while MAb 3H10 selected an additional variant carrying an N273D mutation. All three of these residues are located within loops on the perimeter of the NA head (Fig. 4).



**FIG 3** Effect of single amino acid mutations on MAb binding to N1. Binding of group A MAb 3A2 (A), group A MAb 4G2 (B), and group C MAb 1C7 (C) to mutant N1s was examined by cell-based ELISAs using HEK293 cells transfected with a panel of plasmids carrying each of 14 different single amino acid mutations. The signal generated with each MAb (1  $\mu$ g/ml) was normalized to that of mouse hyperimmune serum and was expressed as relative binding.

Variants were also similarly selected with antibodies from groups A, C, D, E, and F. Three amino acid changes, K249E, A250S, and A343E, were identified in variants selected with group A antibodies. Group C MAbs selected variants with D341N, T339A, or T339I in the NA (Table 4). Notably, K249E and D341N mutations were independently identified in cell-based ELISAs with mutant NAs. Selection with group E MAbs resulted in escape variants containing mutations at residue 396, 397, or 456. A single variant with an N309S substitution, located on the bottom surface of the NA head region, was isolated after multiple rounds of selection with group F MAb 4C4, a weak inhibitor of NA activity. The NA activity of MAb escape mutant viruses was not substantially inhibited by the MAbs used for selection, with mutant viruses at least 10-fold less sensitive to inhibition by the selecting MAbs than wt BR/07 virus (Table 5), showing that the positions where amino acid mutations occurred are crucial for MAb binding/inhibition of NA.

TABLE 4 Amino acid changes in NA of mutant BR/07 viruses selected with N1 MAbs

MAB group	MAB for selection	Mutant <sup>a</sup>	Amino acid change <sup>b</sup>
A	3A2 4G2	3A2v1	A250S
		4G2v1	K249E
		4G2v2	A343E
B	1H5	1H5v1	T339A
		1H5v2	T339I
		1H5v3	T339N
	2D9	2D9v1	T339I
		2D9v2	T339P
	2G6	2G6v1	T339A
		2G6v2	T339I
	3H10	3H10v1	N273D
		3H10v2	V338 M
		3H10v3	T339N
		3H10v4	T339P
	4E9	4E9v1	V338 M
C	1C7 3C2	1C7v1	D341N
		3C2v1	T339A
		3C2v2	T339I
D	2B5 3H4	2B5v1	T339N
		3H4v1	T397N
E	1H8 2D4	1H8v1	T397A
		2D4v1	I396T
		2D4v2	W456G
F	4C4	4C4v1	N309S

<sup>a</sup> MAB escape mutants of wt BR/07 were selected in eggs, followed by plaque purification.

<sup>b</sup> Only a single mutation was detected in the NA of each escape variant.

Taking these results together, we have identified 12 residues within antigenic epitopes of N1. These residues are shown on a model of N1 (Fig. 4, upper panel), together with an alignment of these residues in the NA of N1 viruses described in this study (Fig. 4, bottom panel). Of these, residues 273, 338, and 339 are essential for the binding/inhibition of NA by group B MAbs, while residues 396, 397, and 456 correspond to epitope(s) recognized by group E MAbs. Five residues, at positions 248, 249, 250, 341, and 343, are within the epitope(s) recognized by the strain-specific group A MAbs, while residue 309 is critical for the binding of group F MAb 4C4 to its corresponding epitope.

Although escape mutants could be selected by group B MAbs in this study, the epitope(s) recognized by these MAbs appears to be highly conserved in the NAs of H1N1 (seasonal and pandemic) viruses and to a lesser extent in H5N1 viruses. Among 10,630 human H1N1 NA sequences available in GenBank (as of 13 December 2012), N273 is universally conserved, while V338 and S/T339 (both S339 and T339 are recognized by group B MAbs) are present in  $\geq 99\%$  of these H1N1 viruses. In the NAs of 3,147 H5N1 viruses (GenBank; as of 13 December 2012), V338 is present in 83% of the sequences, while S/T339 is present in 93%.

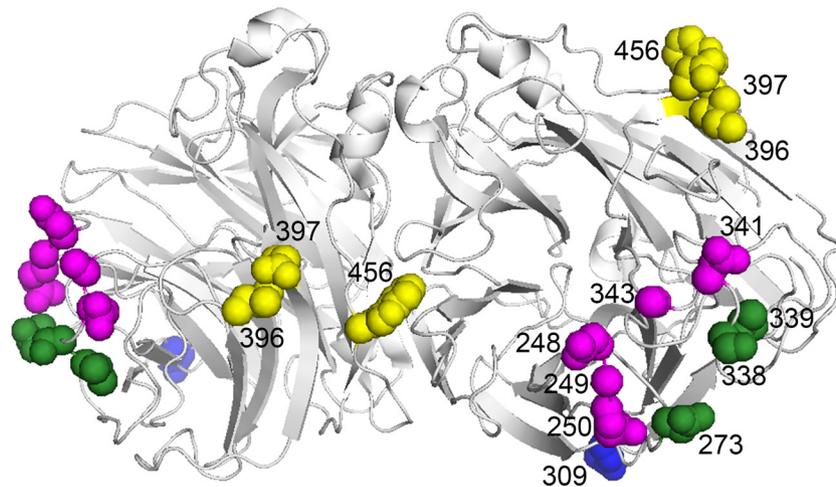
**Group B MAbs protect mice against both seasonal and pandemic H1N1 challenge.** Since group B antibodies had the broadest reactivity, we evaluated their ability to protect mice against lethal challenge with homologous H1N1 virus BR/07 and heterologous

09pdm H1N1 virus CA/09-X179A. DBA/2 mice, which are highly susceptible to most influenza A virus subtypes (32), were inoculated intranasally with 10 MLD<sub>50</sub> of either BR/07 or CA/09-X179A 12 h after intraperitoneal administration of group A MAb 3A2, group B MAb 1H5, or a second group B MAb, 3H10, each at a dose of 15 mg/kg. All three of these MAbs fully protected mice from the lethal BR/07 challenge (Fig. 5A). Mice lost weight after the challenge but began to regain weight on day 4 or 5 postchallenge (p.c.) (Fig. 5B). Viral titration of mouse lungs collected on day 3 p.c. showed that mice treated with group A MAb 3A2 had an average titer  $\sim 1,000$ -fold lower than mice in the PBS control group. Although all animals survived the challenge, interestingly, virus titers in the lungs of group B MAb (1H5 and 3H10)-treated mice were only slightly (2- and 4-fold) lower than those of the PBS control mice (Fig. 5C).

In the CA/09-X179A challenge experiment, administration of MAb 3A2 did not protect the mice against this heterologous virus infection. All mice died or had to be euthanized as did those in the PBS control group, confirming the strain specificity of this MAb. In contrast, group B MAbs 1H5 and 3H10 protected 80% and 100% of mice, respectively (Fig. 5D). Animals in both groups lost less than 20% of starting weight and began to regain weight at  $\sim 1$  week p.c. (Fig. 5E). Virus titers in the lungs of the 1H5- and 3H10-treated mice on day 3 p.c. were lower (18- and 240-fold, respectively) than those in 3A2- and the PBS-treated control groups (Fig. 5F). Protection against CA/09-X179A challenge by the cross-reactive MAbs 1H5 and 3H10 but not the strain-specific MAb 3A2 shows that the *in vivo* protection was mediated by a specific interaction between group B MAbs and the challenge virus. To further confirm the specificity of the protection provided by group B MAbs, we examined the protection of the group B MAb 3H10 against an H3N2 virus, A/Hong Kong/1/68-X31 (HK/68-X31). As shown in Fig. 6, while 3H10 fully protected mice against CA/09-X179A, it did not provide protection against HK/68-X31. These data demonstrate that protection against CA/09-X179A was due to the specific recognition of the H1N1 virus rather than a non-specific effect.

To evaluate the efficacy of group B MAbs and also understand why virus titers in the lungs harvested from group B MAb-treated mice were not reduced to a greater extent 3 days after challenge with BR/07 (Fig. 5C), we tested MAb 3H10 in a dose-dependent BR/07 challenge study. A single dose of 3H10 administered 12 h before challenge provided full protection to mice at  $\geq 2$  mg/kg and partial protection at a lower dose of 0.5 mg/kg (Fig. 7A and B). Again, the difference between virus titers in lungs harvested 3 days after challenge of the 3H10-treated mice and those treated with the control antibody was small. However, by day 6 p.c., the lung viral titers of mice treated with  $\geq 2$  mg/kg of 3H10 were 600- to 10,000-fold lower than those of mice treated with the control MAb (Fig. 7C). At 0.5 mg/kg, the reduction in virus titer on day 6 postchallenge was evident but low (15-fold), and there was no reduction in virus load when mice were treated with 0.1 mg/kg of 3H10, consistent with the lack of protection against death. These data demonstrate that the group B MAb did, indeed, impact BR/07 replication, although with different kinetics compared to the strain-specific MAb 3A02.

**A single dose of group B MAb provides significant protection against highly pathogenic H5N1 infection.** We further assessed the ability of group B MAbs to protect against the attenuated H5N1 virus  $\Delta$ VN/04 in DBA/2 mice and the highly pathogenic wt



Virus	Position /amino acid												
	248	249	250	273	309	338	339	341	343	396	397	456	
A/Brisbane/59/2007	H1N1	N	K	A	N	N	V	T	D	A	I	T	W
A/Bethesda/NIH50/2009	H1N1	.	.	.	.	.	.	.	.	.	.	.	.
A/Texas/36/1991	H1N1	.	G	.	.	.	.	.	.	.	.	.	.
A/New Caledonia/20/1999	H1N1	.	G	.	.	.	.	.	.	.	.	.	.
A/Solomon Islands/3/2006	H1N1	.	G	.	.	.	.	.	.	.	.	.	.
A/Brevig Mission/1/1918	H1N1	.	G	Q	.	.	.	S	N	.	.	.	.
A/California/07/2009	H1N1	.	G	Q	.	.	.	S	N	.	.	N	.
A/Vietnam/1203/2004	H5N1	.	G	Q	.	.	.	S	N	.	.	.	.
A/Guangdong/51/2008	H1N1	.	.	.	.	.	.	.	.	.	.	.	.
A/Duck/Eastern China/103/03	H1N1	.	G	Q	.	.	M	S	N	.	.	.	.
A/Duck/Eastern China/233/03	H3N1	.	G	Q	.	.	M	S	N	T	.	.	.
A/duck/Eastern China/1/2008	H6N1	.	G	Q	.	.	.	.	N	.	.	.	.

FIG 4 Location of 12 critical residues in N1 epitopes on an N1 dimer (Protein Data Bank code 3TI6). The image in the top panel was generated with PyMol software (Delano Scientific). Residues recognized by strain-specific group A MAbs and cross-reactive group B and E MAbs are highlighted in magenta, green, and yellow, respectively; residue 309 recognized by group F MAb 4C4 is at the bottom of the NA region and is shown in blue. Note that residues 339, 341, and 397 are shared by epitopes recognized by two or three groups of MAbs (Table 4 and Fig. 3). The bottom panel shows an alignment of the 12 residues in N1 viruses described in the present study.

VN/04 virus in BALB/c mice. MAbs 1H5 and 3H10 fully protected DBA/2 mice from death caused by 10 MLD<sub>50</sub> of ΔVN/04 virus (Fig. 5G) and clearly impacted virus replication, with lung virus titers of the 1H5- and 3H10-treated mice ~100-fold lower than those in control mice (Fig. 5I). All BALB/c mice that received MAb 1H5 or 3H10 survived a low-dose (1 MLD<sub>50</sub>) wt VN/04 challenge (data not shown). When BALB/c mice were challenged with a relatively high dose of wt VN/04 (20 MLD<sub>50</sub>), a single dose of 1H5 and 3H10 given prophylactically significantly delayed the disease process, with body weights of the MAb-treated mice remaining stable until day 7 p.c. Substantial weight loss was observed thereafter, and the mice began to die by days 9 and 10. In contrast, mice in control groups (PBS- and group A MAb 3A2-treated mice) began to die on day 6 p.c., and all had died by day 9. It is remarkable that without additional group B MAb treatment, 20% of mice in each group survived (Fig. 5J and K). The delay in disease course corresponded with an early reduction in virus load: on day 3 p.c., the virus load in 1H5- and 3H10-treated mice was ~100-fold lower than that in control animals (Fig. 5L).

## DISCUSSION

Although the molecular structure of N1 has been defined (33–35), antigenic domains recognized by strain-specific and broadly reactive antibodies have not been mapped. Changes in sequence over time often point to amino acids that are recognized by antibodies; however, it is difficult to predict which antigenic domains are epidemiologically important because single mutations can have a large impact even on binding of polyclonal antiserum (27). Moreover, conserved epitopes on N1 that contribute to broad protection against heterologous viruses, including H5N1 (16, 17, 36), have not been identified.

We have used a relatively large panel of MAbs to identify the antigenic domains of N1. Differences in MAb binding to the NAs of seasonal and pandemic (18pdm and 09pdm) H1N1, as well as H5N1 viruses, highlight the presence of several epitopes on N1. Group A MAbs inhibited the NA activity of homologous virus *in vitro* most efficiently, reflecting the proximity of group A epitope(s) to the NA active site. The highly cross-reactive group B

TABLE 5 Inhibition of NA activity of MAb escape mutants by selecting MAbs

MAb group	MAb	IC <sub>50</sub> (ng/ml) of MAb escape mutant bearing the indicated mutation <sup>a</sup>																
		wt BR/07 <sup>b</sup>	K249E	A250S	A343E	N273D	V338M	T339A	T339I	T339N	T339P	D341N	I396T	T397A	T397N	W456G	N309S	
A	3A2	10.4		>														
	4G2	7.7	>		>													
B	1H5	25.9						>	>	>								
	2G6	68.1						>	>									
	2D9	31.2							>									
	3H10	23.6				>	>			>	>							
	4E9	27.0						1,269										
C	1C7	85.7										>						
	3C2	33.2						>	>									
D	2B5	28.2								>								
	3H4	63.4												>				
E	1H8	14.7													200			
	2D4	196										>				>		
F	4C4	1184																>>

<sup>a</sup> IC<sub>50</sub>, median inhibition concentration measured with enzyme-linked lectin assay. Each mutant virus was tested only with the MAb used for its selection. >, no inhibition at 2 µg/ml, the highest MAb concentration tested; >>, no inhibition at 16 µg/ml, the highest MAb concentration tested.

<sup>b</sup> IC<sub>50</sub> of wt BR/07 virus was included for comparison.

MAbs recognize epitope(s) containing residues 273, 338, and 339. Residues 339 and 341 are also shared by the epitope(s) corresponding to groups C and D MAbs, suggesting the presence of overlapping N1 epitopes, as has been observed for N2 (23).

In the epitope(s) recognized by group E MAbs, seasonal H1N1

and H5N1 viruses encode a T at position 397, while 09pdm H1N1 viruses have an N, explaining why group E MAbs recognize seasonal H1N1 and avian H5N1 viruses but not 09pdm H1N1 virus. The escape mutant selected with group F MAb 4C4 carries an amino acid change (N309S) on the bottom surface of the NA head,

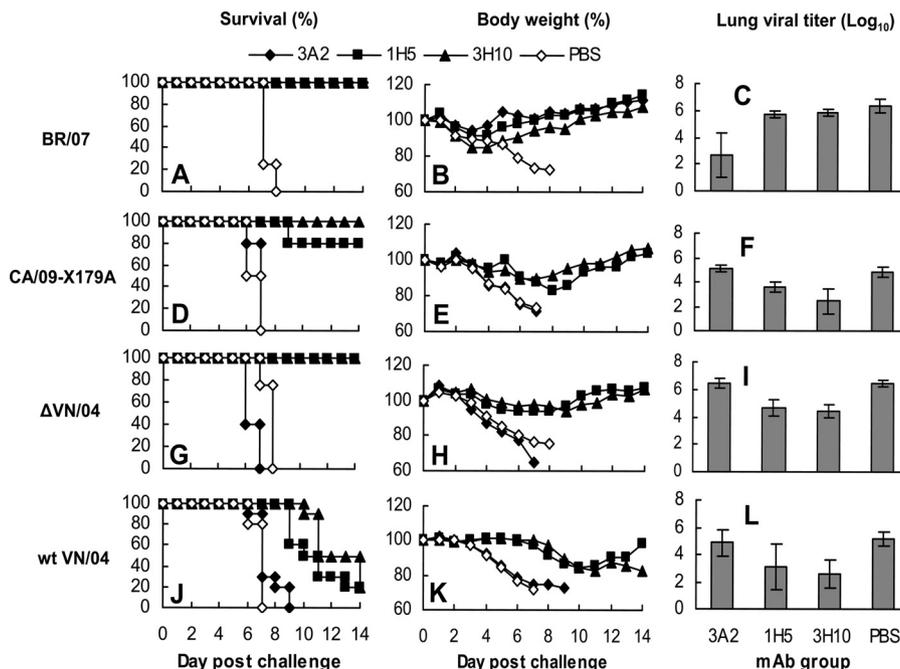
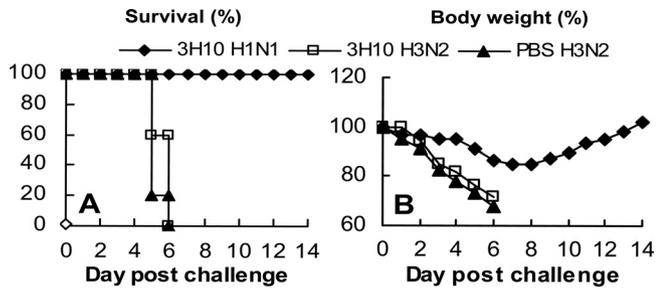


FIG 5 Prophylactic efficacy of cross-reactive group B MAbs in mice against H1N1 and H5N1 challenge. (A to I) DBA/2 mice (9/group) and (J to L) BALB/c mice (15/group) were treated with group A MAb 3A2 and group B MAb 1H5 or 3H10 (15 mg/kg) intraperitoneally 12 h before virus challenge. Mice treated with PBS served as a control. DBA/2 mice were challenged intranasally with 10 MLD<sub>50</sub> of seasonal H1N1 BR/07 (A to C), 09pdm H1N1 CA/09-X179A (D to F), or attenuated H5N1 ΔVN/04 virus (G to I). BALB/c mice were challenged with 20 MLD<sub>50</sub> of wt VN/04 virus. Mortality (A, D, G, and J) and body weight (B, E, H, and K) were monitored daily for 14 days, and on day 3 postchallenge, animals (4/group for DBA/2 mice and 5/group for BALB/c mice) were euthanized, and lung viral titers (C, F, I, and L) determined in MDCK cells.



**FIG 6** Group B MAbs protect mice against H1N1 but not H3N2 virus challenge. DBA/2 mice (5/group) were treated with group B MAb 3H10 (15 mg/kg) intraperitoneally 12 h before challenge with 10 MLD<sub>50</sub> of 09pdm H1N1 CA/09-X179A or H3N2 A/Hong Kong/1/1968-X31 (HK/68-X31) virus. Mice treated with PBS and challenged with HK/68-X31 served as a control. Mortality (A) and body weight (B) were monitored daily for 14 days.

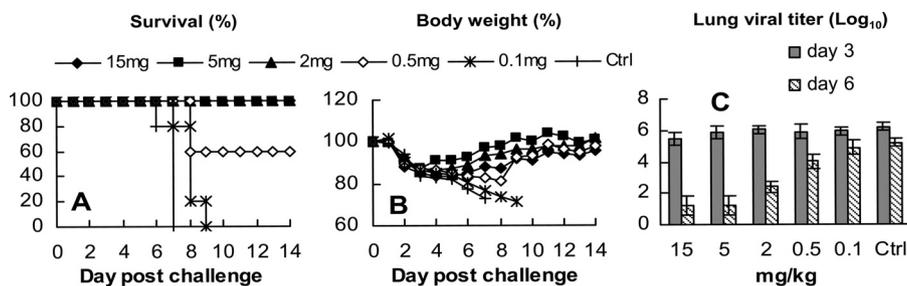
while changes detected in other groups of escape mutants are on the top surface. The weak inhibition of NA activity by 4C4 suggests that the corresponding epitope is located far from the NA active site. Group D MAbs 2B5 and 3H4 had similar reactivity patterns, binding NAs of seasonal and 18pdm H1N1 viruses but not the NA of either 09pdm H1N1 or H5N1. However, they selected variants with mutations at seemingly different positions on the tertiary structure (339 and 397, respectively), suggesting that the corresponding epitope(s) spans the loops containing these residues, as does the N9 epitope recognized by MAb NC41 (24). A clear picture of all residues within each N1 epitope will not be available until the crystal structures of N1-antibody complexes are solved.

The epitope(s) recognized by group B MAbs is likely highly conserved, particularly in H1N1 viruses, with three residues, N273, V338, and S/T339, critical for antibody binding/inhibition of NA. In the present study, group B MAbs 3H10 and 4E9 selected escape variants with the V338M mutation in NA. Consistent with this observation, the NAs of duck viruses Y103 (H1N1) and Y233 (H3N1) bear M residues at position 338, explaining why group B MAbs did not recognize these two viruses in IFAs. Viruses that possess either S339 or T339 are well recognized by group B MAbs. A small proportion of H1N1 and H5N1 viruses encode different residues at this position, e.g., A, F, L, P, V, or Y, but viruses bearing these substitutions have not become dominant in the population. Residue 339 is also critical for the binding of some group C and D antibodies (e.g., 3C2 and 2B5, respectively). Considering these findings and the fact that the group B epitope(s) is present in the 18pdm H1N1 virus as well as contemporary seasonal H1N1,

09pdm H1N1, and H5N1 viruses, it is plausible that residues within this epitope(s) are important for maintaining the structure and function of N1.

NA-specific immunity reduces the severity of clinical illness and virus shedding in influenza virus-infected persons (10, 37–39). This has been attributed in part to the inhibition of NA enzyme activity by NA-specific antibodies. In our study, the *in vitro* inhibition of NA activity of seasonal H1N1 and H5N1 viruses by group A and B MAbs was consistent with their protective efficacy in mice. Group B MAbs did not inhibit the NA activity of 09pdm H1N1 virus in the enzyme inhibition assay and only reduced 09pdm H1N1 virus plaque size at high concentrations. However, group B MAbs protected mice against lethal 09pdm H1N1 virus challenge. Although an alternative mechanism of protection, other than the direct inhibition of viral NA, is feasible, it is also possible that the *in vitro* assays used to measure enzyme inhibition do not reflect the antibodies' ability to inhibit other substrates that are relevant *in vivo*.

Recently, there have been several reports describing NA-associated serum cross-reactivity and heterologous protection among seasonal H1N1, 09pdm H1N1, and H5N1 viruses. In addition to the cross-reactivity/protection observed in the mouse model (15–17, 36), there is evidence that seasonal influenza vaccination increased antibody responses to the NA of 09pdm H1N1 in the elderly (40) and there is measurable NA-specific cross-reactivity between ferret serum against 09pdm H1N1 and H5N1 viruses (14). The presence of conserved group B, E, and F epitopes is likely the molecular basis for such cross-reactivity and heterologous protection. A single dose of the highly cross-reactive group B MAbs (e.g., 3H10) administered prophylactically provided full protection against lethal challenge with seasonal H1N1, 09pdm H1N1, or attenuated H5N1 virus in the highly susceptible DBA/2 mouse model. Group B MAbs also provided significant protection against highly pathogenic H5N1 virus challenge in BALB/c mice, reducing virus replication in lungs and delaying the disease process. Our findings add to the concept that immunization with both HA and NA could elicit broader immunity than immunization with HA alone (41–43). Conserved, protective N1 epitopes, together with conserved HA epitopes (44–47), are thus ideal targets for the development of universal influenza vaccines. Antibodies against these epitopes, administered alone or as a cocktail with broadly reactive HA antibodies, may be useful therapeutics to add to the currently limited arsenal to treat severe H1N1 and H5N1 infections.



**FIG 7** Dose-dependent prophylactic efficacy of group B MAbs against BR/07 (H1N1). DBA/2 mice (9/group) were treated with group B MAb 3H10 (15, 5, 2, 0.5, and 0.1 mg/kg) intraperitoneally 12 h before challenge with 10 MLD<sub>50</sub> of BR/07. Mice treated with the 09pdm H1N1 NA-specific MAb CD6 (Table 3) at 15 mg/kg served as a control. Mortality (A) and weight (B) were monitored daily for 14 days. (C) lung viral titers were measured for 4 mice per group on days 3 and 6 postchallenge.

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